

Disclosure Form

Qualified Employee Name _____

Company Name: _____

Branch Location: _____

You are required to provide an explanation for any ‘yes’ answers. Include date, charge, agency, location, disposition, explanation as applicable. Use the attached page for your explanations.

Disclosure Items

| | Y | N |
|--|--------------------------|--------------------------|
| a. Have you ever been convicted of or pled guilty or nolo contendere (“no contest”) in a domestic, foreign or military court to <i>any felony, or any crime</i> involving fraud, misrepresentation, moral turpitude (crimes against another) or any crime related to the practice of a mortgage broker or mortgage agent? <i>Include any sealed or expunged convictions.</i> | <input type="checkbox"/> | <input type="checkbox"/> |
| b. Have you ever entered into any settlement agreement with any federal or state agency? | <input type="checkbox"/> | <input type="checkbox"/> |
| c. Have you or any company in which you have ever held an ownership interest had a license or registration denied, suspended or revoked by a financial services or securities licensing agency in this state or any state, district, territory of the United States or any foreign in the preceding 10 years? | <input type="checkbox"/> | <input type="checkbox"/> |
| d. Have you filed a bankruptcy petition or been subject of an involuntary bankruptcy petition for an organization under your control or made a compromise with creditors within the past 10 years? | <input type="checkbox"/> | <input type="checkbox"/> |
| e. Has a bonding company ever denied, paid out on, or revoked a bond for you or any company in which you are or have ever been an owner? | <input type="checkbox"/> | <input type="checkbox"/> |
| f. Do you or any company in which you have ever held an ownership interest have any unsatisfied judgments or liens? | <input type="checkbox"/> | <input type="checkbox"/> |
| g. Do you have a relative that is or has been associated with the business? (NRS 645B.0131 Relative means a spouse or any other person related within the second degree by blood or marriage) | <input type="checkbox"/> | <input type="checkbox"/> |
| h. Have you or any company in which you have ever held an ownership interest had a civil or criminal record expunged or sealed by a court order? | <input type="checkbox"/> | <input type="checkbox"/> |
| i. Have you ever had a privileged or professional license in any state denied, suspended or revoked? | <input type="checkbox"/> | <input type="checkbox"/> |
| j. Are you subject to any pending actions that could result in a ‘yes’ answer to any of the above questions? | <input type="checkbox"/> | <input type="checkbox"/> |

By signing below, I represent that I personally have completed this form and verify the information contained herein is accurate.

Signature

_____ Title _____

Print Name: _____

Date _____